



A1

PTO Form 08a/b (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 3

App. No.	10/678,602
Filing Date	10/2/2003
First Named Inventor	S. Loughmiller
Art Unit	2121 2129
Examiner Name	To be assigned
Attorney Docket No.	240.1001.01 (Corvigo-001)

U. S. Patent Documents

Examiner Initials	Cite No.	Document No.	Publication Date	Name
OFR	1.	2001/0032245 A1	18-Oct-2001	Fodor
OFR	2.	2002/0035605 A1	21-Mar-2002	McDowell et al.
OFR	3.	2002/0038296 A1	28-Mar-2002	Margolus et al.
OFR	4.	2002/0105545 A1	08-Aug-2002	Carter et al.
OFR	5.	2003/0009698	09-Jan-2003	Lindeman et al.

Foreign Patent Documents

Examiner Initials	Cite No.	Document No.	Publication Date	Name	Transl.
-------------------	----------	--------------	------------------	------	---------

Non-Patent

Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
OFR	1.	GRAHAM, PAUL, A Plan for Spam, August 2002
OFR	2.	GRAHAM, PAUL, Better Bayesian Filtering, January 2003
OFR	3.	ZHOU, FENG; ZHUANG, LI; ZHAO BEN Y; HUNAG, LING, JOSEPH, ANTHONY D. and KUBIATOWIZ, JOHN, Approximate Object Location and Spam Filtering on Peer-to-peer System, Proc. Of ACM/IFIP/USENIX Intl. Middleware Conf (Middleware 2003) (printed May 16, 2003)
OFR	4.	CARRERAS, XAVIER and MARQUEZ, LLUIS, Boosting Trees for Anti-Spam Email Filtering, TALP Research Center, LSI Department, Universitat Politecnica de Catalunya, Barcelona, Spain (undated, printed May 16, 2003)
OFR	5.	YERAZUNIS, WILLIAM S., Spare Binary Polynomial Hashing and the CRM114 Discriminator, Mitsubishi Electric Research Laboratories, Version January 20, 2003, Cambridge, MA
OFR	6.	How the Spam Filter Works, Edward Technologies, Inc., Ft. Lauderdale, Florida, 2002 (printed May 16, 2003)
OFR	7.	BUCHMANN, JEREMY, Using a Gentic Algorythm to Select Features for Span Filtering, December 13, 2002
OFR	8.	GFI launches freeware version of Exchange Server anti-span product, March 5, 2003, London, United Kingdom

Examiner Signature	/Omar Fernandez Rivas/	Date Considered	09/15/2006
--------------------	------------------------	-----------------	------------

PTO/SB/08a/b (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet 2 of 3	App. No.	10/678,602
	Filing Date	10/2/2003
	First Named Inventor	S. Loughmiller
	Art Unit	2121 2129
	Examiner Name	To be assigned
	Attorney Docket No.	240.1001.01 (Corvigo-001)

Non-Patent		
Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
OFR	9.	SAHAMI, MEHRAN; DUMAIS, SUSAN; HECKERMAN, DAVID; HORVITZ, ERIC, A Bayesian Approach to Filtering Junk E-Mail, Computer Science Department, Stanford University, Stanford, CA (printed May 16, 2003)
OFR	10.	ANDROUTSOPOULOUS, ION; KOUTSIAS, JOHN; CHANDRINOS, KONSTANTIONOS V.; PALIOURAS GEORGE and SPYROPOULOS, CONSTANTINE D., An Evaluation of Naive Bayesian Anti-Span Filtering, Software and Knowledge Engineering Laboratory, National Centre for Scientific Research "Demokritos," Athens, Greece (printed May 16, 2003)
OFR	11.	ANDROUTSOPOULOUS, ION; PALIOURAS, GEORGIOS; KARKALETSIS, VANGELIS; SAKKIS, GEORGIOS; SPYROPOULOS, CONSTANTINE D; STAMATOPOULOS, PANAGIOTIS, Learning to Filter Spam E-mail: A comparison of a Naive Bayesian and a Memory-Based Approach, Department of Informatics, National Centre for Scientific Research "Demokritos," University of Athens, Greece (printed May 16, 2003)
OFR	12.	SAKKIS, GEORGIOS; ANDROUTSOPOULOUS, ION; PALIOURAS, GEORGIOS; KARKALETSIS, VANGELIS; SPYROPOULOS, CONSTANTINE D; STAMATOPOULOS, PANAGIOTIS, A Memory-Based Approach to Anti-Spam Filtering, National Centre for Scientific Research "Demokritos," Technical Report DEMO 2001, Athens, Greece (printed May 16, 2003)
OFR	13.	URBAS, EYTAN, White Paper Analysis: Mailshell SpamCatcher Accuracy vs. Error, August 2002.
OFR	14.	Using Natural Language Processing to Filter Spam, printed May 16, 2003
OFR	15.	KOLATHUR, SATHEESH and SUBRAMANIAN, SUBHA, Collaborative Spam Filter, September 27, 2000
OFR	16.	378 Semester Project Spam Filtering Using Neural Networks, printed May 16, 2003
OFR	17.	Spamassassin – Mail Filter to Identify Spam Using Text Analysis, printed May 16, 2003
OFR	18.	BOYER, BOB and KERNEY, WILLIAM, Spamfilter v1.0; printed May 16, 2003
OFR	19.	DREWES, RICH, An Artificial Neural Network Spam Classifier, May 8, 2002

Examiner Signature	/Omar Fernandez Rivas/	Date Considered	09/15/2006
--------------------	------------------------	-----------------	------------

PTO/SB/08a/b (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet 3 of 3	App. No.	10/678,602
	Filing Date	10/2/2003
	First Named Inventor	S. Loughmiller
	Art Unit	2121 2129
	Examiner Name	To be assigned
	Attorney Docket No.	240.1001.01 (Corvigo-001)

Non-Patent		
Examiner Initials	Cite No.	NAME OF AUTHOR, title of article, book, date, page, volume/issue number, publisher, city and/or country where published.
OFR	20.	Build a Cool Spam Filter Using Outlook Express, printed May 16, 2003.
OFR	21.	JOACHIMS, THORSTEN, Transductive Inference for Text Classification using Support Vector Machines, Universität Dortmund, Germany, printed May 16, 2003
OFR	22.	BURTON, BRIAN, SpamProbe – Bayesian Spam Filtering Tweaks, printed May 16, 2003
OFR	23.	Statistical Spam Filter Works for Me, Now with updating "whitelist," printed May 16, 2003.
OFR	24.	METZGER, JÖRG; SCHILLO, Michael and FISCHER, KLAUS, A Multiagent-based Peer-to-Peer Network in Java for Distributed Spam Filtering, German Research Center for Artificial Intelligence, Saarbrücken, Germany, printed May 16, 2003

Examiner Signature	/Omar Fernandez Rivas/	Date Considered	09/15/2006
--------------------	------------------------	-----------------	------------